

## EXPRESS MAIL CERTIFICATE

Date 8/3/01 Label No. 2903058097US

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office" to Addressee" service.

D B Peck

Signature

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

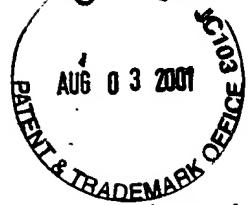
OIP Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No.: 1946/1D864-US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: David Gschneidner et al.

Serial No.: 09/762,067 Art Unit: Not Yet Assigned

Filed: January 31, 2001 Examiner: Not Yet Assigned

For: COMPOUNDS AND COMPOSITIONS FOR DELIVERING ACTIVE AGENTS

Box PATENT APPLICATION  
 Assistant Commissioner for Patents  
 Washington, DC 20231

Sir:

COMPLETION OF PATENT APPLICATION

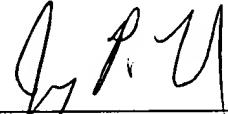
The following items are submitted herewith in completion of the above-identified patent application:

1. Declaration and power of attorney.
2. Check in the amount of \$ 170.00, ( \$40 recording; \$130 late).
3. Assignment for recording to: Emisphere Technologies, Inc.
6. A copy of Notice to File Missing Parts of Application.

PTC/PCT Rec'd 03 AUG 2001

The Patent Office is authorized to charge any deficiency in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Respectfully submitted,



Jay P. Lesser  
Reg. No. 41,151  
Attorney for Applicant(s)

Dated: August 3, 2001

DARBY & DARBY P.C.  
805 Third Avenue  
New York, NY 10022  
212-527-7700

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Serial No. 09/762,067

Docket No. 1946/1D864-US1



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PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
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U.S. APPLICATION NO. 09/762067	FIRST NAMED APPLICANT GSCHNEIDER	ATTY. DOCKET NO. 1946/1D864-U
INTERNATIONAL APPLICATION NO. PCT/US99/17974		
JAY P LESSLER 805 THIRD AVENUE NEW YORK, NY 10022 7513	I.A. FILING DATE 06 AUG 99	PRIORITY DATE 07 AUG 98

DATE MAILED: 06 JUN 2001

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as  a Designated Office (37 CFR 1.494)  an Elected Office (37 CFR 1.495):

U.S. Basic National Fee.  Indication of Small Entity Status.  
 Copy of the international application.  Translation of the international application into English.  
 Oath or Declaration of inventors(s).  Translation of Article 19 amendments into English.  
 Copy of Article 19 amendments.  Other:  
 Priority Document.  
 The International Preliminary Examination Report in English and its Annexes, if any.  
 Translation of Annexes to the International Preliminary Examination Report into English.

2.  Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

U.S. Basic National Fee.  Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.  
- The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.  
 b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(l)).  
 c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.  
 The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.  
 d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$ \_\_\_\_\_ as a  large entity  small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5.  Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes MUST be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.  
7.  The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

*A copy of this notice MUST be returned with this response.*

Enclosed:  PCT/DO/BO/917  Notice of Defective Translation  
 PTO-875  PCT/DO/EO/920

Anita D. Johnson

Telephone: 703-305-3661

FORM PCT/DO/EO/905 (March 2001)